

Pune, India

GLOBAL SECURITIES MARKETS AND INVESTMENT BANKING

Security Markets Champion Level 1 course will help you to develop your skills in Securities.

Get certified to get ahead of the competition.

BFSI Academy offers a four day comprehensive training program extensively focused on global securities market. The course focuses on developed countries, mainly USA. The intensive program is designed to give you a thorough and comprehensive understanding of securities market operations. The participants will learn how the global securities markets operate. This intensive course uses a balance of lectures, workshops, case studies and discussions. The program emphasizes on active participation from delegates and includes exercises and case studies.

The course is mainly directed to those working in software companies or intending to work in software companies. .

When you complete this course, you will have total understanding of :

- Different types investment banking products
- How securities markets operate
- How treasuries operated in banks
- Risks involved in securities transactions
- How Payment and Settlement Systems work

BFSI ACADEMY

BFSI Academy is the training division of MVL Consulting Private Limited.

Visit BFSI Academy at www.bfsia.com

All our training programs are also available in-house. To arrange an in-house program please contact us on :
+91-20-25466154 or email info@mvlco.com
Or email us at trainings@bfsia.com

Location: Pune, India

Duration:

4 full days. Normally held on SAT and SUN for two weeks in continuation.

Your investment for this program is:

Rs.12,000 plus service tax (currently

12.36%) Fees include all the tuition, full course documentation, lunches and refreshments for the duration of the program but does not include accommodation facility.

Full course fees is payable in advance by local cheque or demand draft drawn in favor of “MVL Consulting Private Ltd.”

Maximum Batch Size : 25 Participants

Certification: Securities Champion Level 2

Pre-requisites: Must have basic knowledge of banking or have completed Banking Champion Level 1 course from BFSI Academy

Why should you attend: Banking offers large number of opportunities. Various regulations and competition have made banking sector a major money spinner for many software companies.

Program Contents

Concept of financial markets

- Role of financial markets
- Money Market
- Securities Market
- Primary market
- Secondary market
- OTC
- Third and fourth market
- Auctions
- Private placements
- Kerb deals
- Shelf registration

Terminology

- Interest , Floating, Fixed
- Cap/Floor/Collar
- Dividend
- YTM
- Duration
- Margin
- Brokerage
- Day count convention
- Unscheduled holiday
- DvP and PvP mechanisms

Entities in securities market

- Issuer
- Market Regulator/s
- SEC USA
- Other countries
- Exchanges and indexes
- What is listing on exchanges
- What is traded on exchanges
- NYSE – Dow Jones/S&P 500
- NASDAQ – NASDAQ Composite
- Tokyo – Nikkei 225
- London - FTSE
- Hong Kong - Hang Seng
- Euronext – CAC 40
- Deutsche Bourse - Xetra DAX

Participants

- Banks and financial institutions
- Mutual funds
- Pension funds
- Insurance companies
- Corporates
- Retail customers
- Brokers
- Fannie Mae
- Freddi Mac

Custodians

- Dematerialisation

Brief introduction to treasury

- Front office
- Mid office
- Back office

Deal types

- Exchange trading
- OTC

Course Objective

- To give an insight into the securities markets and the knowledge of the historical/emerging role of investment banking.
- To impart skills and knowledge about essential aspects of capital markets and give the participant a clear perspective of basics of banking operations and their implications in various areas.
- To mentally prepare the participants to take up various positions in software industry supporting the financial services industry.
- To share experiences of eminent banking and finance professionals.

The course focuses more on international securities markets with emphasis on **United States and European Community**.

Incidental expenses:

MVLCO is **NOT** responsible for covering airfare or other travel costs incurred by registrants. **Delegates will be responsible for their own accommodation.**

Please note that MVLCO reserves the right to refuse admission to the training if proof of payment has not been received prior to the start of the program. **An invoice will be sent upon receipt of registration form. Payment must be received in full prior to the course start.**

Cancellation and transfers:

50% of the full fee will be charged if cancellation takes place between 28 and 14 days before the start of the program. The full fee will be charged if cancellation occurs 14 days or less before the start of the program. Substitutes can be accepted at any time providing we have received confirmation in writing.

Proposed Venue:

The training program is proposed to be conducted at National Insurance Academy (NIA) at Pune. **Venue is yet to be confirmed by NIA.**

Program Contents Continued

Transactions/order type

- Market order , Limit order , Stop order , Stop limit order
- GFD/GTC order , Fill or kill order

Products

- Money Market
- Treasury Bills
- Certificates of deposits
- Commercial paper
- Bankers acceptances
- Eurodollars
- Repos and reverses repos
- Federal funds
- Brokers calls

Bond Market

- Treasury notes
- Government bonds/GSECS
- Federal agency debt
- The Federal Home Loan Bank (FHLB)
- The Federal National Mortgage Association (FNMA) or Fannie Mae
- The Government National Mortgage Association (GNMA) or Ginnie Mae
- The Federal Home Loan Mortgage Corporation (FHLMC) or Freddie Mac
- Municipal bonds
- General obligation bond
- Industrial development bond
- International bonds
- Eurobonds
- Dragon bonds
- Corporate bonds
- Mortgage backed securities (MBS)
- Asset backed securities (ABS)
- Pass through certificates (PTC)
- Floaters/Reverse floaters

Equities

- Common stock, Preferred stock
- Depository receipts , ADR, GDR
- Options in case of securities
- Call option, Put option
- Derivatives market
- Options , Call , Put , Futures , Forwards/FRA
- Swaps Swaptions
- Mutual Funds
- Open/closed ended
- Growth/dividend/balanced
- Equity/liquid/diversified/sector

Transaction systems

- Transaction life cycle of the products stated above from pre-trade till settlement.

MVL Consulting Training Services Program Booking Form

Phone	Post	Fax	Email & Web
+91-20-25466154	MVL Consulting P. Ltd. #17, Laxman Villa, Near Jog Hospital, Paud Road, Pune 411038, India	+91-20-25422874	info@mvlco.com www.mvlco.com mail@bfsia.com www.bfsia.com

Program details

Program Name	:	Course date
_____	:	_____
_____	:	Course Location : Pune, India
_____	:	_____
_____	:	Total delegates
_____	:	_____

Delegate details

Delegate 1	:	Delegate 2
First Name (Mr./Ms./Mrs.)	:	First Name (Mr./Ms./Mrs.)
_____	:	_____
Last Name	:	Last Name
_____	:	_____
Position	:	Position
_____	:	_____

Organisation details

Company Name	:	Address
_____	:	_____
_____	:	_____
Department	:	_____
_____	:	_____
Email:	:	_____
_____	:	_____
Telephone	:	Zipcode:
_____	:	_____
Fax	:	Country
_____	:	_____

Payment details

Amount in figures	:	Bank Name
_____	:	_____
Amount in words	:	Bank Branch
_____	:	_____
Cheque Number	:	Cheque date
_____	:	_____

Approving authority details

Approving Manager	:	Position
_____	:	_____
Department	:	Telephone
_____	:	_____
Email	:	Signature
_____	:	_____

Terms and Conditions : Please read carefully before signing. Signing will tantamount to acceptance.

Registration Fees:

A full invoice will be sent out when we receive your booking. Fees must be paid in full prior to program start date. The fees include course material and lunch/refreshment, if so declared in the program brochure. The course fees are exclusive of taxes and applicable taxes will have to be paid additionally, unless otherwise stated in the program brochure. Cheques or drafts should made payable to "MVL Consulting Private Limited" and should be payable at par at Pune/Mumbai. No cash payments are accepted. Please note that if full payment is not received prior to program start date, then the admission may be refused.

Joining Instructions:

Joining instructions will be sent on receipt of booking and full payment.

Change of terms and location of program:

It may be necessary for reasons beyond our control to alter the venue, trainer/s, time-table, contents of a program. Fees will be refunded if the program is cancelled by MVL Consulting Private Limited. We accept no liability for any other cost, losses or damages.

Cancellation and transfers:

50% of the full fee will be charged if cancellation takes place between 28 and 14 days before the start of the program. The full fee will be charged if cancellation occurs 14 days or less before the start of the program. Substitutes can be accepted at any time providing we have received confirmation in writing.